

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF FLORIDA**

**CASE NO.: 23-cv-24903-JB**

SECURITIES AND EXCHANGE  
COMMISSION,

Plaintiff,

v.

RISHI KAPOOR, et al.,

Defendants.

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**ORDER GRANTING RECEIVER'S FIRST INTERIM APPLICATION  
FOR PAYMENT OF FEES AND EXPENSES OF RECEIVER  
AND HER PROFESSIONALS**

**THIS CAUSE** is before the Court on the Receiver's First Interim Application to Authorize Payment of Fees and Expenses of Receiver and her Professionals ("First Fee Application"). ECF No. [137]. In the First Fee Application, the Receiver seeks payment of fees and costs incurred by the Receiver and her professionals from January 12, 2024 through March 31, 2024 (the "First Application Period"). No objection to the First Fee Application has been filed, and the time to do so has passed.

The Court has considered the First Fee Application and the attachments thereto, and finds and concludes that the requested fees and expenses represent actual and necessary expenses incurred in the performance of the Receiver's duties, for which the Receiver and her professionals are entitled to compensation pursuant to the Order Appointing Receiver, ECF No. [28].

Accordingly, it is hereby **ORDERED AND ADJUDGED** as follows:

1. The Receiver's First Interim Application to Authorize Payment of Fees

and Expenses of Receiver and her Professionals, ECF No. [137], is **GRANTED**.

2. The fees of the Receiver Bernice C. Lee for the First Application Period in the amount of \$140,140.00 are **APPROVED**.

3. The fees and expenses of Kozyak, Tropin & Throckmorton LLP for the First Application Period in the amount of \$159,900.00 in fees and \$9,373.63 in expenses are **APPROVED**.

4. The fees and expenses of Yip Associates, Certified Public Accountants for the First Application Period in the amount of \$203,150.00 in fees and \$155.07 in expenses are **APPROVED**.

5. The fees of Day Pitney LLP for the First Application Period in the amount of \$12,648.50 are **APPROVED**.

6. The Receiver is authorized to pay 80% of the approved fees (\$112,112.00 as to the Receiver Bernice Lee, \$127,920.00 as to Kozyak Tropin & Throckmorton LLP, \$162,520.00 as to Yip Associates, Certified Public Accountants, and \$10,118.80 as to Day Pitney LLP) and 100% of the approved expenses from the assets held by the Receivership Estate.

7. The Receiver may request payment of the remaining balance of the approved fees (i.e., the 20% holdback) upon final distribution of Receivership assets to investors.

**DONE AND ORDERED** in Chambers in Miami, Florida this 27th day of September, 2024.

  
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**JACQUELINE BECERRA**  
**UNITED STATES DISTRICT JUDGE**