

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF FLORIDA**

CASE NO.: 23-24903-CIV-JB

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

v.

RISHI KAPOOR, et al.,

Defendants.

**ORDER GRANTING RECEIVER'S THIRD INTERIM APPLICATION FOR
PAYMENT OF FEES AND EXPENSES OF RECEIVER
AND HER PROFESSIONALS**

THIS CAUSE is before the Court on the Receiver's Third Interim Application to Authorize Payment of Fees and Expenses of Receiver and her Professionals. ECF No. [299]. No objection to the Third Fee Application has been filed, and the time to do so has passed. In the Third Fee Application, the Receiver seeks payment of fees and costs incurred by the Receiver and her professionals from July 1, 2024 through September 30, 2024 (the "Third Application Period").

The Court has considered the Third Fee Application and the attachments thereto and concludes that the requested fees and expenses represent actual and necessary expenses incurred in the performance of the Receiver's duties, for which the Receiver and her professionals are entitled to compensation pursuant to the Order Appointing Receiver. ECF No. [28].

Accordingly, it is hereby **ORDERED AND ADJUDGED** as follows:

1. The Receiver's Third Interim Application to Authorize Payment of Fees

and Expenses of Receiver and her Professionals, ECF No. [299], is **GRANTED**.

2. The fees of the Receiver Bernice C. Lee for the Third Application Period in the amount of \$107,905.00 are **APPROVED**.

3. The fees and expenses of Kozyak, Tropin & Throckmorton LLP for the Third Application Period in the amount of \$167,425.00 in fees and \$29,128.30 in expenses are **APPROVED**.

4. The fees and expenses of Yip Associates, Certified Public Accountants for the Third Application Period in the amount of \$6,225.00 in fees are **APPROVED**.

5. The fees and expenses of Day Pitney LLP for the Third Application Period in the amount of \$34,540.00 in fees and \$31.00 in expenses are **APPROVED**.

6. The Receiver is authorized to pay 80% of the approved fees (\$86,324.00 as to the Receiver Bernice Lee, \$133,940.00 as to Kozyak Tropin & Throckmorton LLP, \$4,980.00 as to Yip Associates, Certified Public Accountants, and \$27,632.00 as to Day Pitney LLP) and 100% of the approved expenses from the assets held by the Receivership Estate.

7. The Receiver may request payment of the remaining balance of the approved fees (i.e., the 20% holdback) upon final distribution of Receivership assets to investors or further order of the Court.

DONE AND ORDERED in Miami, Florida this 4th day of December, 2024.



JACQUELINE BECERRA
UNITED STATES DISTRICT JUDGE