

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF FLORIDA

CASE NO.: 23-24903-CIV-JB

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

v.

RISHI KAPOOR, et al.,

Defendants.

**ORDER GRANTING RECEIVER'S NINTH INTERIM APPLICATION
FOR PAYMENT OF FEES AND EXPENSES OF RECEIVER
AND HER PROFESSIONALS**

THIS CAUSE is before the Court on the Receiver's Ninth Interim Application to Authorize Payment of Fees and Expenses of Receiver and her Professionals. ECF No. [536]. No objections have been filed and the time to do so has passed.

In the Ninth Fee Application, the Receiver seeks payment of fees and costs incurred by the Receiver and her professionals from January 1, 2026 through March 31, 2026 (the "Ninth Application Period"). The Court has considered the Ninth Fee Application and the attachments thereto and concludes that the requested fees and expenses represent actual and necessary expenses incurred in the performance of the Receiver's duties, for which the Receiver and her professionals are entitled to compensation pursuant to the Order Appointing Receiver, ECF No. [28]. Accordingly, it is hereby **ORDERED AND ADJUDGED** as follows:

1. The Receiver's Ninth Interim Application to Authorize Payment of Fees and Expenses of Receiver and her Professionals, ECF No. [536], is **GRANTED**.

2. The fees of the Receiver Bernice C. Lee for the Ninth Application Period in the amount of \$61,040.00 are **APPROVED**.

3. The fees and expenses of Kozyak, Tropin & Throckmorton LLP for the Ninth Application Period in the amount of \$37,540.00 in fees and \$13,634.76 in expenses are **APPROVED**.

4. The fees and expenses of Yip Associates, CPA for the Ninth Application Period in the amount of \$16,425.00 in fees and \$11.70 in expenses are **APPROVED**.

5. The fees and expenses of Day Pitney LLP for the Ninth Application Period in the amount of \$12,110.00 in fees and \$128.03 in expenses are **APPROVED**.

6. The Receiver is authorized to pay 80% of the approved fees (\$48,832.00 as to the Receiver Bernice Lee, \$30,032.00 as to Kozyak Tropin & Throckmorton LLP, and \$13,140.00 as to Yip Associates, Certified Public Accountants), and 100% of the approved expenses from the assets held by the Receivership Estate.

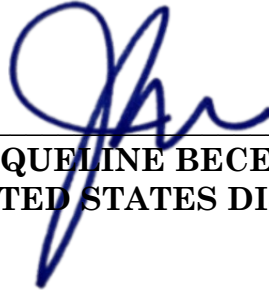
7. The Receiver is authorized to pay 100% of the approved fees in the amount of \$12,110.00 and approved expenses in the amount of \$128.03 to Day Pitney LLP from the assets held by the Receivership Estate.

8. The Receiver is authorized to pay the 20% holdback from fees previously awarded in the first through eighth interim fee applications in the amount of \$25,360.70 to Day Pitney LLP from the assets held by the Receivership Estate.

9. The Receiver may request payment of the remaining balance of the approved fees (i.e., the 20% holdback) upon final distribution of Receivership assets

to investors or further order of the Court.

DONE AND ORDERED in Miami, Florida this 3rd day of June, 2026.

A handwritten signature in blue ink, appearing to read 'JB', is written over a horizontal line.

JACQUELINE BECERRA
UNITED STATES DISTRICT JUDGE