

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF FLORIDA  
Case No.: 21-61644-CIV-SINGHAL**

***Securities and Exchange Commission v. MJ Capital  
Funding, LLC, MJ Taxes and More, Inc. and  
Johanna M. Garcia.***

[For Receiver's use only]

Proof of Claim No. \_\_\_\_\_

The United States District Court for the Southern District of Florida has appointed Corali Lopez-Castro as the receiver (the "Receiver") over MJ Capital Funding, LLC, MJ Taxes and More, Inc. f/k/a MJ Tax Services and More, Inc., Pavel Ruiz MJCF LLC and UDM Remodeling, LLC (each a "Receivership Entity" and collectively, the "Receivership Entities"). To be eligible to receive a distribution from the Receivership Entities, you must complete and return this Proof of Claim and provide supporting documentation to the Receiver's claims agent, Stretto, so that it is actually received on or before **July 19, 2022 at 11:59 P.M.** (prevailing Eastern Time) by: (a) electronic submission via <https://cases.stretto.com/mjcapital>, (b) courier service, hand delivery, or mail addressed to MJ Capital Claims, c/o Stretto, 410 Exchange, Suite 100, Irvine, CA 92602, or (c) electronic mail, as an attachment in portable document format (.pdf), to [TeamMJCapital@stretto.com](mailto:TeamMJCapital@stretto.com).

The information you provide in this Proof of Claim will be used to determine your distribution, if any. The Receiver has the right to dispute and/or verify any information you have provided to determine the proper distribution amount, if any, to which you may be entitled. This Proof of Claim will be submitted under the penalty of perjury as described below.

**IF THIS PROOF OF CLAIM, WITH SUPPORTING DOCUMENTATION, IS NOT TIMELY RECEIVED, YOU WILL BE FOREVER BARRED FROM ASSERTING ANY CLAIM AGAINST THE RECEIVERSHIP ENTITIES AND THEIR ASSETS AND YOU WILL NOT BE ELIGIBLE TO RECEIVE ANY DISTRIBUTION FROM THE RECEIVERSHIP ENTITIES, AND THEIR ESTATES AND ASSETS.**

**1. Claimant Information (submit separate claims for each individual and company):**

Name: \_\_\_\_\_

Has this claim been acquired from someone else?

- No  
 Yes. From whom? \_\_\_\_\_

**2. Receivership Entity with Whom Claim was Incurred (submit separate claims for each):**

- MJ Capital Funding, LLC  
 MJ Taxes and More, Inc., f/k/a MJ Tax Services and More, Inc.  
 Pavel Ruiz MJCF LLC  
 UDM Remodeling, LLC

<p><b>3. Where Should Notices and Payments to the Claimant Be Sent?</b></p> <p>Name: _____</p> <p>Address: _____</p> <p>City, State, Zip: _____</p> <p>Telephone: _____</p> <p>Email Address: _____</p>	<p><b>4. Where Should Payments Be Sent (if different):</b></p> <p>Name: _____</p> <p>Address: _____</p> <p>City, State, Zip: _____</p> <p>Telephone: _____</p> <p>Email Address: _____</p>
<p><b>5. Total Amount of Claim: \$</b> _____</p>	
<p><b>6. If Court Judgment against any Receivership Entity, Date Obtained:</b> _____</p>	
<p><b>7. If Legal Action Pending against any Receivership Entity, Date Commenced, Court Name, and Case No.:</b> _____</p>	
<p><b>8. Is this claim based on funds you provided to a Receivership Entity on or after August 12, 2021?</b></p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	
<p><b>9. Are you related to Johanna M. Garcia by blood or marriage?</b></p> <p><input type="checkbox"/> Yes If Yes, please describe: _____</p> <p><input type="checkbox"/> No</p>	
<p><b>10. Basis for Claim (check all applicable):</b></p> <p><input type="checkbox"/> Investment in MJ Capital Funding, LLC</p> <p><input type="checkbox"/> Investment in MJ Taxes and More, Inc., f/k/a MJ Tax Services and More, Inc.</p> <p><input type="checkbox"/> Services performed or goods provided</p> <p><input type="checkbox"/> Money loaned</p> <p><input type="checkbox"/> Taxes</p> <p><input type="checkbox"/> Wages, salaries, or compensation</p> <p><input type="checkbox"/> Other: _____ (please describe)</p>	<p><b>11. Secured Claim:</b></p> <p><input type="checkbox"/> Check this box if you contend your claim is subject to a security interest or lien. Attach copies of all documents that evidence the claim of secured status, including mortgages, security agreements, and evidence of perfection of lien.</p> <p><b>Description of Collateral:</b></p> <p><input type="checkbox"/> Real Estate</p> <p><input type="checkbox"/> Motor Vehicle</p> <p><input type="checkbox"/> Other: _____ (please describe)</p> <p><b>Asserted Value of Collateral: \$</b> _____</p>

**12. Role With Receivership Entities (check all that apply):**

- Investor
- Account Representative
- Team Lead
- Manager
- Board Member
- Officer or Director
- Owner
- Employee/Independent Consultant
- Other: \_\_\_\_\_

(describe or provide additional information on the attached sheet)

**13. Payments Received in Connection with Receivership Entities, directly or indirectly (complete all that apply and provide supporting documentation):**

<b>Payment Date</b>	<b>Payment Amount</b>	<b>Payment Basis</b> <i>Payment on Investment or Interest / Advance Fee / Referral, Commission or Affiliate Fee / Bonus / Wage or Salary / Distribution / Other (describe)</i>	<b>Form of Payment</b> <i>Wire / Cash / Check / Zelle / PayPal / Other (describe)</i>	<b>Payment Received From</b> <i>MJ Capital Funding, LLC / MJ Taxes and More, Inc. / Other (describe and include bank account information)</i>

(Complete the attached Question 13 Continuation Sheet if needed)

**14. Other Items of Value Received In Connection with the Receivership Entities:**

Did you receive any other item of value other than the money described above (for example, a watch or vehicle) from any Receivership Entity, a board member, or anyone acting on their behalf?

- Yes
- No

If yes, please identify what you received, from whom, and the date received: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**15. If Investor, Name, Effective Date, Amount Provided, and Form of Funds Provided (complete all that apply and provide supporting documentation):**

Investor Name	Effective Date of Agreement	Name of Person Who Solicited Your Investment in Receivership Entities	Payment Date	Payment Amount	Form of Payment <i>Wire / Cash / Check / Zelle / PayPal / Other (describe)</i>	Payment Provided To <i>MJ Capital Funding, LLC / MJ Taxes and More, Inc. / Other (describe and include bank account information)</i>

*(Complete the attached Question 15 Continuation Sheet for additional payments)*

**16. Supporting Documentation:** Please attach to your Proof of Claim copies of all documents available to you that support your claim, including but not limited to copies of personal checks, deposit receipts, cashier’s checks, wire transfer advices, receipts and any other documents evidencing the investment of funds, copies of investment agreements, a chronological accounting of all money or other items of value received in connection with any Receivership Entity, copies of all investor agreements, promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, court judgments, mortgages, security agreements, evidence of perfection of lien, and other documents evidencing the amount and basis of the Proof of Claim. **DO NOT SEND ORIGINAL DOCUMENTS.** If such documentation is not available, please attach a detailed explanation of why documents are unavailable.

**17. Verification of Claims:** All Proofs of Claim submitted are subject to review and verification by the Receiver, and approval by the Court. It is important to provide complete and accurate information to facilitate this effort. Claimants may be asked to supply additional information to complete this process.

**18. Consent to Jurisdiction and Waiver of Jury Trial:** BY SUBMITTING YOUR PROOF OF CLAIM, YOU CONSENT AND SUBMIT TO THE EXCLUSIVE JURISDICTION OF THE UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF FLORIDA FOR ALL PURPOSES AND AGREE TO BE BOUND BY SUCH COURT’S DECISIONS, INCLUDING, WITHOUT LIMITATION, AS TO CLAIMS, OBJECTIONS, DEFENSES OR COUNTERCLAIMS BETWEEN YOU AND ANY RECEIVERSHIP ENTITY AND/OR THE RECEIVER. FURTHER, BY SUBMITTING YOUR PROOF OF CLAIM, YOU AGREE TO WAIVE ANY RIGHT TO A JURY TRIAL WITH RESPECT TO YOUR PROOF OF CLAIM, AND ANY RELATED CLAIMS, OBJECTIONS, DEFENSES, AND COUNTERCLAIMS.

**19. Representations (check all applicable):**

I hereby represent the following:

- I was not a board member, officer, director, owner, manager, team lead, account representative or other insider of any Receivership Entity or affiliate of any Receivership Entity.
- I did not knowingly assist any Receivership Entity or affiliate of any Receivership Entity effectuate, perpetuate, or promote the MJ Capital Funding, LLC Ponzi scheme.
- I did not have knowledge of the fraudulent nature of any Receivership Entity or affiliate of any Receivership Entity at the time funds were transferred to, or a debt was incurred by, such entity.

**20. Signature:**

**I understand that an authorized signature on this Proof of Claim serves as an acknowledgment that when calculating the amount of the claim, the claimant gave the Receivership Entities credit for any payments or other things of value received toward the debt. Attach a copy of power of attorney, death certificate, or other documents as relevant.**

**I have examined the information in this Proof of Claim, and have a reasonable belief that the information is true and correct.**

**Pursuant to 28 U.S.C. § 1746, I certify under penalty of perjury under the laws of the United States of America that the foregoing is true and correct, and my supporting documentation provided is also true and correct.**

Signature: \_\_\_\_\_

Executed on Date: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Title (if applicable): \_\_\_\_\_

Address: \_\_\_\_\_

Contact Phone: \_\_\_\_\_

Email: \_\_\_\_\_



